



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): ANDREI V. SHCHEGROV, ANATOLY FABRIKANT and  
MEHRDAD NIKOONAHAD

Assignee: KLA-TENCOR TECHNOLOGIES CORPORATION

Title: PARAMETRIC PROFILING USING OPTICAL SPECTROSCOPIC  
SYSTEMS

Serial No.: 09/741,663 Filing Date: December 19, 2000

Examiner: Unknown Group Art Unit: 2877

Docket No.: M-10700 US

San Francisco, California  
August 27, 2001

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application -- Filing Date Granted" mailed by the United States Patent and Trademark Office on February 26, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A Declaration for Patent Application and Power of Attorney signed by the inventors in compliance with 37 CFR 1.63.
2. Copy of Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted.
3. A Petition for Extension of Time.
4. A Submission of Formal Drawings with eleven (11) sheets of formal drawings.
5. An executed Assignment and Assignment Recordation Cover Sheet.
6. An Information Disclosure Statement, PTO-1449 and cited references.

LAW OFFICES OF  
SKJERVEN MORRILL  
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The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

- |    |   |            |
|----|---|------------|
| 1. | Statutory basic filing fee of \$710.00; additional claims fee of \$1,512.00; additional independent claims fee of \$960.00; missing parts surcharge of \$130.00; four-month extension fee of \$1,390.00 | \$4,702.00 |
| 2. | Assignment recordation fee  | 40.00      |
| 3. | TOTAL FEES:   | \$4,742.00 |

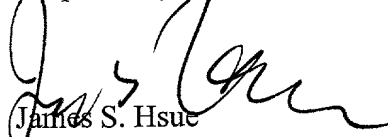
The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-identified patent application and justify the filing date of December 19, 2000. Please telephone the undersigned at (415) 217-6000, if there are any questions. This form is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on August 27, 2001.

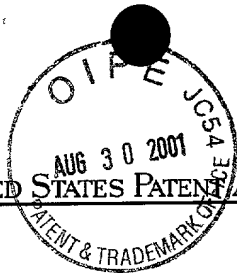
 8/27/01  
Tammy L. Kaplan Date of Signature

Respectfully submitted,

  
James S. Hsue  
Attorney for Applicant(s)  
Reg. No. 29,545

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/741.663	12/19/2000	Andrei V. Shchegrov	TNCR.189US0

CONFIRMATION NO. 7526

## FORMALITIES LETTER



\*QC000000005799448\*

James S. Hsue  
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Date Mailed: 02/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2472.
 

01 FC:101	710.00 CH
02 FC:103	1512.00 CH
03 FC:102	960.00 CH
04 FC:105	130.00 CH

  - **\$1512** for **84** total claims over 20.
  - **\$960** for **12** independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3312.
- 08/31/2001 CNGUYEN 00000040 09741563  
 03 FC:102 960.00 CH  
 04 FC:105 130.00 CH

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

CS

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**